

FORM PTO-1390  
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

2345/209

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/541480**

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO

PCT/DE2003/004191

INTERNATIONAL FILING DATE

(19.12.2003)

19 December 2003

PRIORITY DATE CLAIMED:

(04.01.03)

4 January 2003

## TITLE OF INVENTION

INCREASING THE RESISTANCE OF CRYSTALS TO OPTICAL DAMAGE

## APPLICANT(S) FOR DO/EO/US

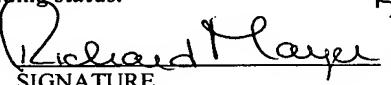
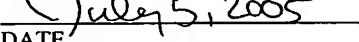
Karsten BUSE; Joerg HUKRIEDE; Manfred MUELLER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Any amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of any amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).
10.  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 CFR 1.76.
15.  A substitute specification and marked up copy of the substitute specification.
16.  A power of attorney and/or change of address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information: PCT/RO/101, first page of WO 2004/061397 A1, International Search Report Translation, International Preliminary Report Translation

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER												
<b>10/541480</b>	PCT/DE2003/004191	2345/209												
21. The following fees are submitted:														
<table border="1"> <tr> <td><input checked="" type="checkbox"/> a) Basic National Fee .....</td> <td>\$ 300.00</td> <td>\$ 300.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> b) Examination Fee .....</td> <td>\$ 200.00</td> <td>\$ 200.00</td> </tr> <tr> <td><input checked="" type="checkbox"/> c) Search Fee .....</td> <td>\$ 400.00</td> <td>\$ 400.00</td> </tr> <tr> <td colspan="2"><b>TOTAL OF ABOVE CALCULATIONS</b></td> <td><b>\$900.00</b></td> </tr> </table>			<input checked="" type="checkbox"/> a) Basic National Fee .....	\$ 300.00	\$ 300.00	<input checked="" type="checkbox"/> b) Examination Fee .....	\$ 200.00	\$ 200.00	<input checked="" type="checkbox"/> c) Search Fee .....	\$ 400.00	\$ 400.00	<b>TOTAL OF ABOVE CALCULATIONS</b>		<b>\$900.00</b>
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<input checked="" type="checkbox"/> c) Search Fee .....	\$ 400.00	\$ 400.00												
<b>TOTAL OF ABOVE CALCULATIONS</b>		<b>\$900.00</b>												
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.														
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)												
-100	/50=	x \$250												
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).														
CLAIMS	NUMBER FILED	NUMBER EXTRA												
Total Claims	13 - 20 =	0												
Independent Claims	3 - 3 =	0												
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360.00												
<b>TOTAL OF ABOVE CALCULATIONS</b>		<b>\$ 0.00</b>												
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.														
<b>SUBTOTAL</b> = \$900.00														
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).														
<b>TOTAL NATIONAL FEE</b> = \$900.00														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).														
\$40.00 per property														
<b>TOTAL FEES ENCLOSED</b> = \$ 900.00														
		Amount to be refunded:												
		\$												
		Amount to be charged												
		\$ 900.00												
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0600</u> in the amount of <u>\$900.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0600</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.														
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.														
SEND ALL CORRESPONDENCE TO: KENYON & KENYON One Broadway New York, New York 10004 CUSTOMER NO. 26646														
 SIGNATURE Richard L. Mayer (Reg. No. 22,490) NAME  DATE July 5, 2005														